



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Huey Quoc Chan et al. Confirmation No.: 1275
Serial No.: 10/791,008 Examiner: Unknown
Filing Date: March 2, 2004 Group Art Unit: 3763
Docket No.: 1001.1765101 Customer No.: 28075
For: OCCLUSION BALLOON CATHETER WITH EXTERNAL INFLATION
LUMEN

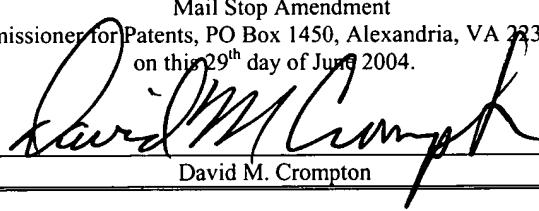
TRANSMITTAL SHEET

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATE UNDER 37 C.F.R. § 1.8: I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Amendment
Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 29th day of June 2004.

By 

David M. Crompton

We are transmitting herewith the attached:

Amendment
 No additional claim fee required
 The claim fee has been calculated as shown:

CLAIMS AS AMENDED

| | (3) REMAINING CLAIMS | (4) HIGHEST PAID | (5) EXTRA | SMALL ENTITY | | OTHER | |
|------------------------------------|----------------------------|------------------------|--------------|--------------|--------------|---------|--------------|
| | | | | RATE | ADD'L FEE | RATE | ADD'L FEE |
| TOTAL CLAIMS | - | = | | X 9= | \$ | X 18= | \$ |
| INDEPENDENT CLAIMS | - | = | | X 43= | \$ | X 86 = | \$ |
| () FIRST MULTIPLE DEPENDENT CLAIM | | | | + 145 = | \$ | + 290 = | \$ |
| TOTAL | | | | \$ | | \$ | |

A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

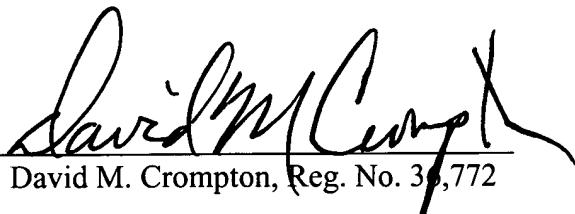
Fee Code _____ \$

Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

Other: Information Disclosure Statement and Form PTO-1449 and 2 cited non-U.S. patent/publication references.

Return Receipt Postcard (MPEP 503).

Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 

David M. Crompton, Reg. No. 3,772

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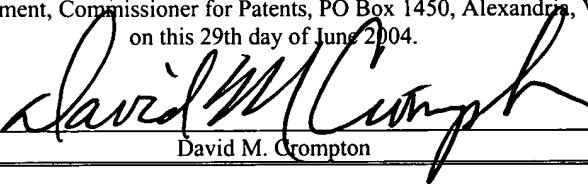
P A T E N T

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In re: Huey Quoc Chan et al. Confirmation No.: 1275
Serial No.: 10/791,008 Examiner: Unknown
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INFORMATION DISCLOSURE STATEMENT

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Mail Stop Amendment, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450
on this 29th day of June 2004.

By 
David M. Crompton

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

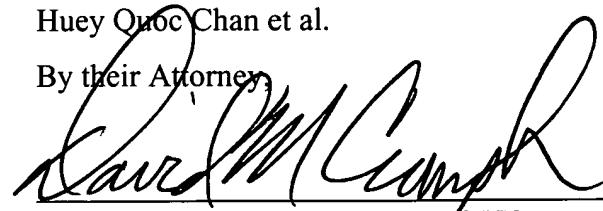
In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Huey Quoc Chan et al.

By their Attorney



Date: 6/29/04

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|---|--|-----------------------------------|---------------------------|
| FORM PTO-1449 | | Atty. Docket No.: 1001.1765101 | Serial No.: 10/791,008 |
| LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT | | Applicant: Huey Quoc et al. | |
| | | Confirmation No.: 1275 | |
| | | Filing Date: March 2, 2004 | Group Art: 3763 |

U.S. PATENT DOCUMENTS

| Examiner Initial | Document No. | Date | Name | Filing Date If Appropriate |
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| AB | 4,490,421 | 12/25/1984 | Levy | |
| AC | 4,573,966 | 03/04/1986 | Weikl et al. | |
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| Examiner Initial | Document No. | Date | Name | Filing Date If Appropriate |
|------------------|--------------|------------|----------------|----------------------------|
| AX | 6,612,999 | 09/02/2003 | Brennan et al. | |
| | | | | |

FOREIGN PATENT DOCUMENTS

| | | Document No. | Date | Country | Translation Yes No |
|--|----|-----------------|------------|---------|-----------------------|
| | AY | EP 0 427 429 A2 | 05/15/1991 | Europe | |
| | AZ | EP 0 917 886 A1 | 05/26/1999 | Europe | |
| | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.